

本行國際金融業務分行辦理企業金融客戶之特定金錢之信託報酬及其他費用

OBU Trust for ICG/CCB clients: Trust Compensation and Other Fees

1 客戶投資於手續費前收型基金之費用如下：

The fees payable by the client for investing in front end load funds are as follows:

(a) 申購手續費 subscription fee：

- (i) 報酬標準：費率 0~3 %。惟如客戶經基金公司認定為短線交易者而須收取較高之申購手續費時，費率標準將依該基金公司通知為準。

Fee schedule: 0~ 3%, *provided* the fee schedule will be as advised by the fund company if the fund company considers the client to be engaging in short-term trading and charges a higher subscription fee.

- (ii) 計算方法：以信託本金乘上費率計算之。

Calculation: The principal of the trust multiplied by the applicable rate

- (iii) 支付時間及方法：於申購時一次給付，由客戶給付予本行。

Payment schedule and terms: Payable in a lump sum upon subscription, by the client to Citibank Taiwan Limited (“CTL”).

(b) 轉換手續費 Switch fee：

- (i) 報酬標準：每筆最低美金 20 元，最高不超過投資金額的 3%。轉出標的之申購手續費低於轉入標的之申購手續費者，應負擔其差額。

Fee schedule: A minimum fee is charged per switch in USD20 but no more than 3% of investment amount provided that the client shall reimburse the difference if the subscription fee for switch-out investment object is lower than the subscription fee for switch-in investment object.

- (ii) 計算方法：於每次基金轉換時逐次收取。

Calculation: Charged upon each switch.

- (iii) 支付時間及方法：由客戶給付予本行，於辦理轉換時一次給付。

Payment schedule and terms: Payable in a lump sum upon switch, by the client to CTL.

- (iv) 部分基金公司依公開說明書規定，客戶轉換基金時，將內扣或外收 0~0.5%之轉換費用，該項費用於每月結算給付予本行。

Some fund company may charge a 0%~0.5% switch fee (to be deducted from the relevant switch amount or separately charged) in accordance with the relevant prospectus at the time when a switch is made by investors. Such fees will be calculated and payable to CTL on a monthly basis.

2 客戶投資於手續費後收型基金，所收取之費用如下：

The fees payable by the client for investing in back end load funds are as follows:

(a) 遞延銷售手續費 Contingent Deferred Sales Charge：

- (i) 報酬標準：費率 0~4%。

Fee schedule: 0% to 4%

- (ii) 計算方法：依贖回時市價與原始信託本金孰低者乘以適用之費率計算之。

Calculation: The lower of the market price when redeemed or the original trust principal amount multiplied by the applicable rate.

- (iii) 支付時間及方法：於基金贖回時由基金公司自贖回總額中扣收。

Payment schedule and terms: to be deducted by the fund company from the redemption proceeds at the time of fund redemption.

(b) 轉換手續費 Switch fee：

- (v) 報酬標準：每筆最低美金 20 元，最高不超過投資金額的 3%。轉出標的之申購手續費低於轉入標的之申購手續費者，應負擔其差額。

Fee schedule: A minimum fee is charged per switch in USD20 but no more than 3% of investment amount provided that the client shall reimburse the difference if the subscription fee for switch-out investment object is lower than the subscription fee for switch-in investment object.

- (vi) 計算方法：於每次基金轉換時逐次收取。

Calculation: Charged upon each switch.

- (vii) 支付時間及方法：由客戶給付予本行，於辦理轉換時一次給付。

Payment schedule and terms: Payable in a lump sum upon switch, by the client to CTL.

- (viii) 部分基金公司依公開說明書規定，客戶轉換基金時，將內扣或外收 0~0.5%之轉換費用，該項費用於每月結算給付予本行。

Some fund company may charge a 0%~0.5% switch fee (to be deducted from the relevant switch amount or separately charged) in accordance with the relevant prospectus at the time when a switch is made by investors. Such fees will be calculated and payable to CTL on a monthly basis.

- (c) 除上開費用外，客戶應了解基金公司對於後收型基金將收取較高的管銷費用(Distribution Fee)。

In addition to the above fees, the client shall understand that the fund company will charge a higher distribution fee for back end load funds.

3 申購時之通路服務費：

Trailer fees payable at subscription:

(a) 報酬標準：費率 0% 至 4.25%。

Fee schedule: 0% to 4.25%.

(b) 計算方法：以信託本金乘上費率計算之。

Calculation: The principal of the trust multiplied by the applicable rate.

(c) 支付時間及方法：由交易相對人或基金公司於申購後 6 個月內給付予本行；此服務費如係已包含於基金公開說明書所規定之費用，將由基金公司逕自各基金之每日淨資產價值中扣除。

Payment schedule and terms: to be paid by the relevant counterparties or fund company within six (6) month after the subscription. If such fees are covered by the fees set out in the fund prospectus, the fund company will deduct such fees from the daily net asset value of each relevant fund.

#### 4 持有期間之通路服務費：

Trailer fees payable for the holding period:

(a) 報酬標準：費率 0% 至 2% (年費率)。

Fee schedule: 0% to 2% (Annual fee rate).

(b) 計算方法：以本行於交易相對人或基金公司之淨資產價值乘上費率計算之。

Calculation: The net asset value of investment from CTL in the counterparty or fund company multiplied by the applicable rate.

(c) 支付時間及方法：由交易相對人或基金公司給付予本行，支付方式依各基金公司而有所不同，可能採取月、季、半年、年度支付方式為之；此服務費係已包含於基金公開說明書所規定之費用，由基金公司逕自各基金之每日淨資產價值中扣除。

Payment schedule and terms: Payable by the counterparty or the fund company to CTL. The terms of payment vary among fund companies, which may be monthly, quarterly, bi-annually or annually. If such fees are covered by the fees set out in the relevant fund prospectus, the fund company will deduct such fees from the daily net asset value of each relevant fund.